PATENT UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

William J. Rissmann et al.

Confirmation No.: 5252

Serial No.:

09/940,471

Examiner: K. Mullen

Filing Date:

August 27, 2001

Group Art Unit: 3762

Docket No.:

1201.1115101

Customer No.: 21691

For:

POWER SUPPLY FOR AN IMPLANTABLE SUBCUTANEOUS

CARDIOVERTER-DEFIBRILLATOR

Mail Stop 16 Director of the US Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

### REQUEST FOR REFUND UNDER 37 C.F.R. § 1,26(b)

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8 (1(I)(B))

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office at 571-273-6500 on the date shown below.

> Kathleen L. Bockicy Type or print name of person signing certification

September 27, 2005

Date

Dear Sir:

Applicants have reviewed charge of \$200 which appears on the Monthly Statement of Deposit Account dated August 2005 with regard to the above-identified application. A copy of the Statement is attached. On page 4 of the Statement, the fee code indicates fees for 2 additional independent claims. However, Applicant asserts that no claim fees were due at that time. A review of the file and claims fees are as follows:

08/27/2001 - original filing claims paid: 190 total claims, 6 independent

02/06/2004 - Amendment

pending claims: 27 total claims, 3 independent

05/21/2004 - Amendment

pending claims: 27 total claims, 10 independent; \$172 paid

via check for 4 independent claims

10/14/2004 - Amendment

pending claims: 25 total claims, 10 independent

04/25/2005 - Amendment

pending claims: 23 total claims, 10 independent

08/22/2005 - Amendment

pending claims: 15 total claims, 8 independent

Adjustment date: 11/04/2005 EEKUBAY1 08/29/2005 BDENNY 00000003 500413 200.00 CR

CROMPTON SEAGER TUFTE

6123599349

Appl. No. 09/940,471 Req. Refund dated September 27, 2005

As shown above, fees were paid on May 21, 2004 for 4 independent claims. Therefore, no claims fees were due, and Applicants hereby request that Deposit Account 50-0413 be credited in the amount of \$200.

Respectfully submitted,

William J. Rissmann et al.

By their Attorney,

David M. Crompton, Reg. No. 3

CROMPTON, SEAGER & TUFT

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420

Telephone: (612) 677-9050 Facsimile: (612) 359-9349

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#### Deposit Account Statement

Requested Statement Month: Deposit Account Number:

Name: Attention:

Address: City: State:

Zip: Country: August 2005

500413

CROMPTON SEAGER & TUFTE LLC

DAVID M CROMPTON 1221 NICOLLET AVENUE

**MINNEAPOLIS** MN

55403-2420

UNITED STATES OF AMERICA

DATE SEQ POSTING ATTORNEY FEE AMT NBR CODE AMT	BAL
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08/01 2 10150434 4441-085 2251 -\$60.00	\$17,827,00
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P. 05

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0	DC

	08/17 23	11161776	H0009680-07601100.136110	1 1111	\$500.00	\$37,395.00
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	08/18 3	10524337	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	9204	<b>-\$100.00</b>	\$36,855.00
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	08/22 38	11161872	H0010116-58131016.1215101	1011	\$300.00	\$31,850.00
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	08/22 137	11208404	H0008012-07601100.1320101	1111	\$500.00	\$25,845.00
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-	08/26 52	10086992	1001.1624102	1801	\$790.00	\$18,659.00
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08/29-1	- E REPLENICI MEN	7	9203	<b>640,000.0</b>	\$28,859.00
08/29 3	09940471	032580.0018	2201	\$200.00	\$28,659.00
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PATERY NAMES AND EDITION OF

## CROMPTON | SEAGER | TUFTE | LLC

Patent, Trademark & Copyright Attorneys

2005 SEP 27 Fil 4: 32

US PATENT & TRADEMARK OFFICE

1221 Nicollet Avenue, Suite 800 Minneapolis, Minnesota 55403-2420 Phone 612.677.9050 Fax 612.359.9349

#### **FAX TRANSMISSION COVER LETTER**

TO: Commissioner for Patents

Attn: Mail Stop 16

P.O. Box 1450

Alexandria, VA 22313-1450

DATE: September 27, 2005 FROM: David M. Crompton

OUR REF: 1201.1115101

TELEPHONE: 612-677-9050

Total pages, including cover letter: 7

PTO FAX NUMBER: <u>571-273-6500</u>

If you do NOT receive all of the pages, please telephone us at (612) 677-9050, or fax us at (612) 359-9349.

Title of Document(s) Transmitted: REQUEST FOR REFUND, AND COPY OF AUGUST 2005

DEPOSIT ACCOUNT STATEMENT

Applicant: William J. Rissmann et al.

Serial No.: 09/940,471 Filed: August 27, 2001 Group Art Unit: 3762

Our Ref. No.: 1201.1115101 Confirmation No.: 5252 Customer No.: 21691

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0413,

Name: David M. Crompton-

Reg. No. 36,772

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Karley & Boelley

Name: Kathleen L. Boekley

September 27, 2005

Date